

JC14 Rec'd /PTO 02 JUL 2001

FORM PTO-1390 (REV. 11-2000) U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE <b>TRANSMITTAL LETTER TO THE UNITED STATES          DESIGNATED/ELECTED OFFICE (DO/EO/US)          CONCERNING A FILING UNDER 35 U.S.C. 371</b>		ATTORNEY'S DOCKET NUMBER 302/1/013 U.S. APPLICATION NO. (If known, see 37 CFR 1.5) <b>097869637</b>
INTERNATIONAL APPLICATION NO. PCT/GB99/04343	INTERNATIONAL FILING DATE December 22, 1999	PRIORITY DATE CLAIMED January 6, 1999
TITLE OF INVENTION <b>ULTRASONIC VISUALISATION SYSTEMS</b>		
APPLICANT(S) FOR DO/EO/US <b>Richard Peter GLOVER et al</b>		
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:		
1. <input checked="" type="checkbox"/> This is a <b>FIRST</b> submission of items concerning a filing under 35 U.S.C. 371. 2. <input type="checkbox"/> This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a filing under 35 U.S.C. 371. 3. <input checked="" type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. 4. <input type="checkbox"/> The US has been elected by the expiration of 19 months from the priority date (Article 31). 5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2)) a. <input type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau). b. <input checked="" type="checkbox"/> has been communicated by the International Bureau. c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US). 6. <input type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). a. <input type="checkbox"/> is attached hereto. b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4). 7. <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) a. <input checked="" type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau). b. <input type="checkbox"/> have been communicated by the International Bureau. c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired. d. <input type="checkbox"/> have not been made and will not be made. 8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)). 9. <input type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). 10. <input type="checkbox"/> An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). Items 11 to 20 below concern document(s) or information included: 11. <input type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 12. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 13. <input checked="" type="checkbox"/> A <b>FIRST</b> preliminary amendment. 14. <input type="checkbox"/> A <b>SECOND</b> or <b>SUBSEQUENT</b> preliminary amendment. 15. <input type="checkbox"/> A substitute specification. 16. <input type="checkbox"/> A change of power of attorney and/or address letter. 17. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825. 18. <input type="checkbox"/> A second copy of the published international application under 35 U.S.C. 154(d)(4). 19. <input type="checkbox"/> A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). 20. <input checked="" type="checkbox"/> Other items or information: a) Form PCT/IB/308; b) Application Data Sheet; c) Form PCT/RO/101; d) Form PCT/ISA/210; e) Form PCT/IB/304; f) Form PCT/IPEA/408 dated 9/21/00 and response; g) Form PCT/IPEA/408 dated 1/15/01 and response; h) Form PCT/IPEA/409.		

09869637-011502

Certificate of Mailing By Express Mail Under 37 CFR 1.10

Express Mail "Mailing Label No.": ET113243922US  
 Date of Deposit: JULY 2, 2001

I hereby certify that this paper and/or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. 1.10 on the date indicated above and is addressed to the ASSISTANT COMMISSIONER FOR PATENTS, WASHINGTON, D.C. 20231 on JULY 2, 2001.

Richard M. Goldbergs  
 (Name of Registered Representative and person mailing)

Richard M. Goldbergs July 2, 2001  
 (Signature and Date)

U.S. PAT. & TM. OFF. FORM 101 (Rev. 10-1-90) <div style="font-size: 24pt; font-weight: bold; text-align: center;">09/869637</div>	INTERNATIONAL APPLICATION NO. <b>PCT/GB99/04343</b>	ATTORNEY'S DOCKET NUMBER <b>302/1/013</b>
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21. ☒ The following fees are submitted:

**BASIC NATIONAL FEE** (37 CFR 1.492 (a) (1) - (5)):

Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO. .... \$1000.00

International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO ..... \$860.00

International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO ..... \$710.00

International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4) ..... \$690.00

International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4) ..... \$100.00

**ENTER APPROPRIATE BASIC FEE AMOUNT =**

CALCULATIONS PTO USE ONLY

Surcharge of \$130.00 for furnishing the oath or declaration later than months from the earliest claimed priority date (37 CFR 1.492(e)). <input type="checkbox"/> 20 <input type="checkbox"/> 30		\$ 0
<b>CLAIMS</b>	<b>NUMBER FILED</b>	<b>NUMBER EXTRA</b>
Total claims	10 - 20 =	0
Independent claims	1 - 3 =	0
<b>MULTIPLE DEPENDENT CLAIM(S) (if applicable)</b>		+ \$270.00
<b>TOTAL OF ABOVE CALCULATIONS =</b>		\$ 860.00
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.		\$ 0
<b>SUBTOTAL =</b>		\$ 860.00
Processing fee of \$130.00 for furnishing the English translation later than months from the earliest claimed priority date (37 CFR 1.492(f)). <input type="checkbox"/> 20 <input type="checkbox"/> 30		\$ 0
<b>TOTAL NATIONAL FEE =</b>		\$ 860.00
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +		\$ 0
<b>TOTAL FEES ENCLOSED =</b>		\$ 860.00
		Amount to be refunded: \$
		charged: \$

a. ☐ A check in the amount of \$ \_\_\_\_\_ to cover the above fees is enclosed.

b. ☒ Please charge my Deposit Account No. 07-1524 in the amount of \$ 860.00 to cover the above fees. A duplicate copy of this sheet is enclosed.

c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 07-3524 A duplicate copy of this sheet is enclosed.

d. ☐ Fees are to be charged to a credit card. **WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

**NOTE:** Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137 (a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

SIGNATURE

Richard M. Goldberg  
 NAME  
28,215  
 REGISTRATION NUMBER

09869637.011502

*Law Offices of*  
**RICHARD M. GOLDBERG**  
25 EAST SALEM STREET  
HACKENSACK, NEW JERSEY 07601

(201) 343-7775

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MEMBER NEW JERSEY AND  
NEW YORK BARS

July 2, 2001

Box PATENT APPLICATION  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Re: New U.S. Patent Application  
Applicants: Richard Peter GLOVER et al  
Title : ULTRASONIC VISUALIZATION SYSTEMS  
My File : 302/1/013

Certificate of Mailing By Express Mail Under 37 CFR 1.10

Express Mail "Mailing Label No.": ET113243922US

Date of Deposit : JULY 2, 2001

I hereby certify that this paper and/or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. 1.10 on the date indicated above and is addressed to the ASSISTANT COMMISSIONER FOR PATENTS, WASHINGTON, D.C. 20231 on JULY 2, 2001

Richard M. Goldberg  
(Name of Registered Representative  
and person mailing)

Richard M. Goldberg July 2,  
(Signature and Date) 2001

Dear Sirs:

Transmitted herewith for filing in the U.S. Patent and Trademark Office (entering the national phase in a PCT application (PCT/GB99/04343) (WO 00/40156) is a new application including:

- a) Form PTO-1390 (in duplicate), with authorization to charge \$860.00 to Deposit Account No. 07-1524;
- b) Form PCT/IB/308 (mailed February 15, 2000) entitled NOTICE INFORMING THE APPLICANT OF THE COMMUNICATION OF THE INTERNATIONAL APPLICATION TO THE DESIGNATED OFFICES, indicating that the original PCT application was transmitted by the International Office to the U.S. Patent and Trademark Office - 2 pages;

Assistant Commissioner for Patents  
July 2, 2001  
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- c) PCT application of twelve (12) pages, with ten (10) claims, including three sheets of drawings;
- d) Front page of published PCT application;
- e) Application Data Sheet;
- f) Form PCT/RO/101 - Request;
- g) Form PCT/ISA/210 - International Search Report performed by European Patent Office and Annex thereto;
- h) Form PCT/IB/304 - Notification Concerning Submission or Transmittal of Priority Document;
- i) Form PCT/IPEA/408 - Written Opinion mailed September 21, 2000 and response thereto dated December 20, 2000;
- j) Form PCT/IPEA/408 - Written Opinion mailed January 15, 2001 and response thereto dated March 15, 2001;
- k) Form PCT/IPEA/409 - International Preliminary Examination Report with amended sheets 10, 11 and 12; and
- l) Preliminary Amendment with Letter to Official Draftsman and amended Fig. 3.

**The executed Declaration and Power of Attorney will be subsequently filed in response to a Notification of Missing Requirements.**

It is respectfully requested that the above-identified PCT application be granted a filing date within the July 6, 2001 convention term (30 months), based on PCT Application No. PCT/GB99/04343, filed December 22, 1999 and on Great Britain Application No. 9900133.1, filed January 6, 1999.

*Law Offices of*

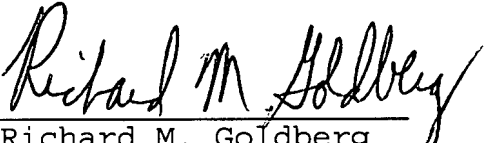
**RICHARD M. GOLDBERG**

Assistant Commissioner for Patents  
July 2, 2001  
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In the event that this Paper is late filed, and the necessary petition for extension of time is not filed concurrently herewith, please consider this as a Petition for the requisite extension of time, and to the extent not tendered by check attached hereto, authorization to charge the extension fee, or any other fee required in connection with this Paper, to Account No. 07-1524.

The Commissioner is authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 07-1524.

Respectfully submitted,



Richard M. Goldberg

Registration No. 28,215

RMG:mz  
enclosures

*Law Offices of*

**RICHARD M. GOLDBERG**